

APPENDIX H LITIGATION AND CONTRACT COMPLIANCE FORM



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|-------------------|--|-------------------|--|
| Agency Name: | | Agreement Number: | |
| Agreement Period: | | | |

| Check YES, NO or Not Applicable (N/A) in response to the following questions. If a YES answer is checked, please fully explain the circumstances and include whether it will have a potential impact on each project. Return via U.S. or electronic mail or personal delivery. | | Yes | No | N/A |
|--|---|-----|----|-----|
| 1. | Is the organization currently, or within the past three (3) years, involved in litigation, arbitration, or mediation? | | | |
| 2. | Is the Executive Director or Board of Directors currently, or within the past three (3) years, involved in litigation related to the administration and operation of a program or organization? | | | |
| 3. | Are any key staff members unable to be bonded? | | | |
| 4. | Has your agency been placed on, maintained on or removed from probation, suspension, debarment, or another status of non-compliance by a contracting entity in the past three (3) years? | | | |
| 5. | Has there been any negative finding or determination by an auditor or contracting party regarding fiscal management or controls or contract compliance? | | | |
| 6. | Has the agency or agency director ever had public or foundation funds withheld? | | | |
| 7. | Has the agency ever had its non-profit status suspended or revoked? | | | |
| 8. | Has the agency or Executive Director or Board of Directors refused to participate in any fiscal audit requested by a government agency or funding source? | | | |
| 9. | Has the agency been the target or subject of any Federal, State or local law enforcement or administrative investigation in the past three (3) years? | | | |
| 10. | Are there any issues surrounding the agency's license, certification or accreditation? | | | |
| Explanation: For any questions marked YES, please fully explain the circumstances and include whether it will have a potential impact on the project. Use additional pages if necessary. | | | | |

As part of the contract process the Commission, at its own discretion, may implement additional procedures to validate or further investigate any of the proposed Contractor/Grantee's responses. The Commission reserves the right to terminate the contracting process without entering into an agreement if the proposed Contractor/Grantee submits false or incorrect information.

By signing this form, I certify, on behalf of agency named above that the responses contained herein are true and complete to the best of my knowledge.

Signature: _____ Date: _____
(Must be signed by an Authorized Signatory)

Printed Name: _____ Title: _____